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Empathy Benefits Both Physicians and Patients: Case Studies and Best **Practices**

October 2, 2018

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> "People will forget what you said, people will forget what you did, but people will never forget how you made them feel." (Maya Angelou)

Defining empathy can be complicated. In medicine, it is thought of as a communication competence, but it also describes the experience between physician and patient, during which the physician becomes attuned to the patient to understand what the patient is feeling. Patients also use empathy to describe a physician's ability to understand their feelings and opinions and express compassion and concern for their well-being. 1 Although physician empathy may seem to be a low priority in comparison to technical acumen, research indicates that physician empathy has wide-ranging benefits for both physicians and patients.

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Better Patient Outcomes

Physician empathy is associated with various improved



health outcomes. ^{1,2,3} Research indicates that an empathic relationship between physicians and their patients can increase immune function, shorten post-surgery hospital stays, control blood sugar, decrease asthma attacks and even shorten the duration of colds. ^{4,5} Why empathy increases health outcomes appears to be the result of patients' emotional responses to their physicians. For example, physician empathy increases patient trust ⁶ and reduces patient anxiety, which increases patients' willingness to disclose accurate and relevant information about themselves, ⁵ and also increases compliance and engagement in treatment. ¹

Greater Patient Satisfaction

Currently, high patient satisfaction scores are important for a variety of reasons. Several studies report patients are more satisfied with physicians they perceive as empathic (i.e., physicians who are good listeners, trustworthy, caring, warm, friendly, reassuring, etc.). ^{7,8}

Lower Malpractice Risk

A patient's decision to file a lawsuit is affected by many issues. Various studies indicate that a physician's ability to establish rapport, meet patient expectations and communicate effectively factor into a patient's decision not to file a lawsuit. 9,10,11,12

Less Stress and Burnout

Evidence suggests that physicians with higher empathy levels experience lower burnout rates, although physician burnout can be exacerbated by unfettered emotional involvement with patients. 13,14 Learning how to regulate

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empathic responses to patients can improve physician satisfaction while controlling stress, emotional exhaustion and detachment. 15

The case studies linked here are based on healthcare encounters reported to the NORCAL Claims Department. The first case resulted in litigation, despite experts believing no malpractice occurred. The second case was resolved without a lawsuit, despite findings of negligent patient injury. One physician's empathy and the other physician's lack of it most likely explains why the cases resolved in the manner they did.

Dispelling Empathy Myths

More Information About Physician Empathy

- Case Studies:
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 Liability Risk
- Best Practices:

Improving
Physician
Empathy:
Techniques and
Training

Physicians may avoid empathy due to the mistaken belief that it will negatively affect their practice of medicine. The assumptions and fears cited by physicians about treating patients empathically fall into four general categories: 16

Empathy Myth #1: Empathy Takes Too Much Time

Empathic communication and behavior is not inherently time consuming. For example, consider the amount of time it takes to smile, introduce yourself, notice the patient's emotional state, sit at eye level with the patient and maintain eye contact while listening to the patient's complaint. Empathy can actually save time during clinical encounters by prompting more accurate and efficient patient reporting of history and symptoms. Furthermore, patients with empathic physicians are often satisfied with shorter visits. ^{16,17} Empathy can change a patient's perception of appointment duration. ¹⁸

Empathy Myth #2: Empathy Opens a "Pandora's Box" of Patient Emotions

There are two main issues that seem to drive the Pandora's box misconception. One is the fear that a patient's emotional

outpouring will consume too much time; the other is discomfort with being exposed to unpleasant patient emotions like anger, fear and sadness. Research indicates that patients' emotional health affects their ability to heal, ^{16,19} which indicates that accessing patient emotions is important. Additionally, some patients will open the floodgates of emotion, whether it is in response to empathy or something else. Consequently, being prepared to effectively communicate with and relate to a patient in the context of strong emotions while effectively managing your own response to an emotionally charged encounter is a more productive strategy than avoiding empathy all together.

Time management techniques during patient appointments can keep the patient from hijacking it and causing a time overrun. For example, setting an agenda for the appointment can be helpful. Eliciting patients' emotional issues early in the visit can help with planning the visit agenda. The BATHE technique provides a structured, time-sensitive way to address emotional or psychosocial issues.

Objectively and actively listening to patients express their emotions does not mean you must become their therapist.²⁰ If you or your patient believes an emotional issue warrants more time than the agreed-upon agenda allows, or that emotional issues warrant a referral, the appointment agenda can be renegotiated, a follow-up can be scheduled or the patient can be referred.^{18,21}

Empathy Myth #3: Empathy Leads to Burnout

There is a historic belief in medicine that detachment and objectivity protects physicians from burnout, but research indicates that too much detachment and objectivity can cause it. A certain amount of detachment is necessary due to distressing aspects of practicing medicine (e.g., cutting into a person's body, observing injuries and suffering, etc.) However, empathy can benefit physicians. Research indicates that avoiding emotional connections can become exhausting and removes the satisfaction of being a healer. One of the keys to avoiding burnout is balancing detachment and empathy. In other words, it is important to stand in the patient's shoes, but you also must know when and how to extract yourself before you become trapped in them.

Empathy Myth #4: Empathy Can't Be Learned

Some physicians are naturally better at being empathic. However, research indicates that empathic communication is a teachable, learnable skill, ¹⁶ even by physicians who contend they are naturally not empathetic or that they have irretrievably lost their capacity for empathy. ²⁵ Research involving resident physicians who received empathy training concluded that they became more empathic. They interrupted their patients less, maintained better eye contact and were better able to stay calm when patients became angry, frustrated or upset. They were also better at understanding their patients and making them comfortable. ²⁶ It also appears that empathy training makes physicians more resistant to compassion fatigue and burnout. ^{25,26}

Empathy plays an important role in medical practice and has a positive impact on both the patient and physician. When patients consider their physicians to be empathic, their outcomes are better, they are more likely to be satisfied and are less likely to file lawsuits. Empathy is not inherently time consuming, it can increase efficiency and make the practice of medicine rewarding again for physicians who are stressed or burnt out. With training, physicians can learn to respond to patients in an empathic manner, even when they are not feeling empathic or believe they are not naturally empathic. Empathy might not be the magic bullet to cure all that ails the healthcare system, but it can make things better.

This content originally appeared in the NORCAL Group Risk Management publication, *Claims Rx*. Many releases of *Claims Rx* are available in the *Claims Rx* Directory for download. Policyholders will also find instructions for obtaining CME credit for select releases.

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